



Corporate Action Announcement

Citibank, N.A., acting as depositary bank, announces the following:

Date: September 27, 2017 Status: Final

Announcement For: Ratio Change, Stock Split

Company Name: Sumitomo Heavy Industries, Ltd.

Security Information Current Information: New Information:

DR Ticker: SOHVY
ORD Ticker: 6302 JP
CUSIP: 865620108
Country: Japan
Exchange: OTC

Ratio (ORD:DR): 5:1

Sponsorship Level: Unsponsored ADR Program

DTC Eligible: Yes

DR ISIN: US8656201089 **ORD ISIN:** JP3405400007

Custodian(s): CITIBANK JAPAN LTD.

Announcement

Please click here to view the Notice of Ratio Change and Stock Distribution for additional information.

1:4

continued



Shareholder Services

Questions may be directed to Shareholder Services toll free at 1-877-248-4237

For further information on Citi's Depositary Receipt Services, visit www.citi.com/dr.