

## Ratio Change And Stock Split Announcement



02-Oct-2023 01:48 PM

TO: Financial Industry Regulatory Authority  
(FINRA)

Please be advised of the following dividend information:

Approximate  
Final

X

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**DR Program:** ROHM CO LTD

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CUSIP:	775376106
ISIN:	US7753761060
Ticker:	ROHCY
Country:	JAPAN
Ratio (ORD:ADR)	1 : 2
Type of Distribution:	Stock

RATIO CHANGE AND STOCK SPLIT

ADR Record Date: 29-Sep-2023  
ADR Payable Date: 02-Oct-2023

Stock Percent Rate: 100

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### Note the following:

Citibank has been informed that Rohm Co. Ltd. has announced a four (4) for one (1) stock split on its ordinary shares effective locally on October 1, 2023. As a result of the stock split in the local market, Citibank will change the ratio on the Rohm Co.Ltd. ADR program. The ratio will change from two (2) ADSs representing one (1) Ordinary Share to the new ratio of one (1) ADS representing one (1) Ordinary Share. The ratio change will occur immediately after the stock split resulting in a 100% ADS distribution.

ADR holders of Rohm Co. Ltd. will receive a 100% stock distribution (1 additional ADSs for each 1 ADS held) as of the ADR record date.

First day of trading under the new ratio is expected to be October 3, 2023.

Issuance fee: \$0.00

The books are closed for Issuances and Cancellations from 9/27/2023 through COB 10/4/2023.

Questions may be directed to Tiffany Ma (973) 461-5734 or email [drdividends@citi.com](mailto:drdividends@citi.com)

For more information about Citi's Depositary Receipt Services, please visit [www.citi.com/dr](http://www.citi.com/dr).



## Foreign Exchange Pricing Disclosure

Citibank, N.A. (the "Depositary") may execute depositary receipt foreign currency transactions ("Conversions") itself or through its affiliates or agents (the "Conversion Providers") and it may act as principal counterparty and not as agent, advisor, broker or fiduciary for such transactions. The Depositary has no obligation to obtain the most favorable exchange rate, makes no representation that the exchange rate is a favorable rate, and it expressly disclaims any liability for any direct or indirect losses associated with the exchange rate. In instances where the Depositary is the first depositary bank to file an F-6 registration statement for an unsponsored ADR program ("First Filer"), the Depositary, or its Conversion Providers, may earn and retain revenue on its executed Conversions based on, among other things, the difference between the exchange rate it assigns to the transaction and the exchange rate that it pays and receives for purchases and sales of currencies when buying or selling foreign currency for its own account (the "Spread"). Notwithstanding the foregoing, in some instances (i) Conversions may be managed and executed by unaffiliated third-parties ("Unaffiliated Conversion Providers"), and, for such Conversions, the foreign exchange rate applied by the Unaffiliated Conversion Providers will be the rate given to ADR holders; and (ii) Conversions may be managed at the discretion of the issuer of the securities represented by the depositary receipts (the "Issuer"), meaning the Issuer may: (a) convert foreign currency independent of the Depositary and/or its Conversion Providers; and/or (b) provide cash distributions to the Depositary in US dollars at a conversion rate determined by the Issuer.

In instances where the Depositary is not the First Filer, it will provide Conversions of cash distributions at the foreign exchange rate set by the First Filer depositary bank.

More information regarding the Depositary's Conversion practices is available at: [www.citi.com/dr](http://www.citi.com/dr).