



Books Close/Open Notice

September 12, 2017 (amended October 10, 2017)

Company Name: Sumitomo Heavy Industries, Ltd.

 Ticker Symbol:
 SOHVY

 CUSIP Number:
 865620108

 US ISIN:
 US8656201089

Country: Japan Listing Exchange: OTC

Sponsorship Level: Unsponsored ADR Program

Ratio (ORD:DR): 1:4

Books Closed Date: Close of business September 28, 2017 **Books Open Date:** Close of business October 24, 2017

For: Cancellation

Reason: CORPORATE ACTION

Depositary Receipt Services

Contact Capital Markets Solutions

New York New York London

Michael O'LearyJason ZoppelMichael WoodsGroup Emailmichael.oleary@citi.comjason.zoppel@citi.commichael.woods@citi.comcitiadr@citi.com

Bloomberg: ADRC <GO>

For further information about Citi's Depositary Receipt Services, visit www.citi.com/dr.

© 2025 Citibank, N.A. All rights reserved. Citi and Arc Design and Citibank are trademarks and service marks of Citigroup Inc. or its affiliates, used and registered throughout the world. The above information is being provided solely for information purposes by Citi. At the time of publication, this information was believed to be accurate, but Citi makes no representation or warranty as to correctness of the information set forth above. The above information does not constitute a recommendation, solicitation or offer by Citi for the purchase or sale of any securities, nor shall this material be construed in any way as investment or legal advice or a recommendation, reference or endorsement by Citi.