



## Books Close/Open Notice

September 5, 2014 (amended July 28, 2016)

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**Company Name:** Shanghai Electric Group Co Ltd

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**Ticker Symbol:** SIELY  
**CUSIP Number:** 81943J108  
**US ISIN:** US81943J1088  
**Country:** China  
**Listing Exchange:** OTC  
**Sponsorship Level:** Unsponsored ADR Program  
**Ratio (ORD:DR):** 20:1  
**Books Closed Date:** Close of business September 5, 2014  
**Books Open Date:** July 28, 2016  
**For:** Issuance  
**Reason:** 12G3-2(B) EXEMPTION STATUS

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