



Books Close/Open Notice

December 6, 2022

Company Name: Huatai Securities Co., Ltd

 Ticker Symbol:
 HTSC LI

 CUSIP Number:
 44331T104

 US ISIN:
 US44331T1043

Country: China Listing Exchange: LSE

Sponsorship Level: Sponsored GDR - Reg S Program

Ratio (ORD:DR): 10:1

Books Closed Date: Close of business December 12, 2022 **Books Open Date:** Close of business December 20, 2022

For: Issuance and Cancellation

Reason: OTHER

THE BOOKS WILL BE CLOSED FOR ISSUANCE AND CANCELLATION IN CONNECTION WITH THE UPCOMING EXTRAORDINARY GENERAL MEETING TO BE HELD ON DECEMBER 30, 2022 FROM CLOSE OF BUSINESS DECEMBER 12, 2022 THROUGH THE CLOSE OF BUSINESS DECEMBER 20, 2022

Depositary Receipt Services

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