



## Books Close/Open Notice

May 26, 2021

---

**Company Name:** **Huatai Securities Co., Ltd**

---

**Ticker Symbol:** HTSC LI  
**CUSIP Number:** 44331T104  
**US ISIN:** US44331T1043  
**Country:** China  
**Listing Exchange:** LSE  
**Sponsorship Level:** Sponsored GDR - Reg S Program  
**Ratio (ORD:DR):** 10:1  
**Books Closed Date:** Close of business June 1, 2021  
**Books Open Date:** Close of business June 9, 2021  
**For:** Cancellation  
**Reason:** OTHER

THE BOOKS WILL BE CLOSED FOR CANCELLATIONS IN CONNECTION WITH THE UPCOMING ANNUAL GENERAL MEETING TO BE HELD ON JUNE 22, 2021 FROM THE CLOSE OF BUSINESS JUNE 1, 2021 THROUGH THE CLOSE OF BUSINESS JUNE 9, 2021.

### Depository Receipt Services

#### Contact Capital Markets Solutions

##### New York

Michael O'Leary  
[michael.oleary@citi.com](mailto:michael.oleary@citi.com)

##### New York

Jason Zoppel  
[jason.zoppel@citi.com](mailto:jason.zoppel@citi.com)

##### London

Michael Woods  
[michael.woods@citi.com](mailto:michael.woods@citi.com)

Group Email  
[citiadr@citi.com](mailto:citiadr@citi.com)

**Bloomberg: ADRC <GO>**

For further information about Citi's Depository Receipt Services, visit [www.citi.com/dr](http://www.citi.com/dr).