



Books Close/Open Notice

January 13, 2021

Company Name: Huatai Securities Co., Ltd

 Ticker Symbol:
 HTSC LI

 CUSIP Number:
 44331T104

 US ISIN:
 US44331T1043

Country: China Listing Exchange: LSE

Sponsorship Level: Sponsored GDR - Reg S Program

Ratio (ORD:DR): 10:1

Books Closed Date: Close of business January 20, 2021 **Books Open Date:** Close of business January 28, 2021

For: Cancellation Reason: OTHER

THE BOOKS WILL BE CLOSED FOR CANCELLATIONS IN CONNECTION WITH THE UPCOMING EXTRAORDINARY GENERAL MEETING TO BE HELD ON FEBRUARY 8, 2021 FROM THE CLOSE OF BUSINESS JANUARY 20, 2021 THROUGH THE CLOSE OF BUSINESS JANUARY 28, 2021.

Depositary Receipt Services

Contact Capital Markets Solutions

New York New York London

Michael O'LearyJason ZoppelMichael WoodsGroup Emailmichael.oleary@citi.comjason.zoppel@citi.commichael.woods@citi.comcitiadr@citi.com

Bloomberg: ADRC <GO>

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