



Books Close/Open Notice

January 13, 2021

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| Company Name: | Huatai Securities Co., Ltd |
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|---------------------------|------------------------------------|
| Ticker Symbol: | HTSC LI |
| CUSIP Number: | 44331T104 |
| US ISIN: | US44331T1043 |
| Country: | China |
| Listing Exchange: | LSE |
| Sponsorship Level: | Sponsored GDR - Reg S Program |
| Ratio (ORD:DR): | 10:1 |
| Books Closed Date: | Close of business January 20, 2021 |
| Books Open Date: | Close of business January 28, 2021 |
| For: | Cancellation |
| Reason: | OTHER |

THE BOOKS WILL BE CLOSED FOR CANCELLATIONS IN CONNECTION WITH THE UPCOMING EXTRAORDINARY GENERAL MEETING TO BE HELD ON FEBRUARY 8, 2021 FROM THE CLOSE OF BUSINESS JANUARY 20, 2021 THROUGH THE CLOSE OF BUSINESS JANUARY 28, 2021.

Depository Receipt Services

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