



Books Close/Open Notice

January 13, 2021

Company Name: **Huatai Securities Co., Ltd**

Ticker Symbol: HTSC LI
CUSIP Number: 44331T104
US ISIN: US44331T1043
Country: China
Listing Exchange: LSE
Sponsorship Level: Sponsored GDR - Reg S Program
Ratio (ORD:DR): 10:1
Books Closed Date: Close of business January 20, 2021
Books Open Date: Close of business January 28, 2021
For: Cancellation
Reason: OTHER

THE BOOKS WILL BE CLOSED FOR CANCELLATIONS IN CONNECTION WITH THE UPCOMING EXTRAORDINARY GENERAL MEETING TO BE HELD ON FEBRUARY 8, 2021 FROM THE CLOSE OF BUSINESS JANUARY 20, 2021 THROUGH THE CLOSE OF BUSINESS JANUARY 28, 2021.

Depository Receipt Services

Contact Capital Markets Solutions

New York

Michael O'Leary

michael.oleary@citi.com

New York

Jason Zoppel

jason.zoppel@citi.com

London

Michael Woods

michael.woods@citi.com

Group Email

citiadr@citi.com

Bloomberg: ADRC <GO>

For further information about Citi's Depository Receipt Services, visit www.citi.com/dr.