



## Books Close/Open Notice

May 15, 2020

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**Company Name:** **Huatai Securities Co., Ltd**

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**Ticker Symbol:** HTSC LI  
**CUSIP Number:** 44331T104  
**US ISIN:** US44331T1043  
**Country:** China  
**Listing Exchange:** LSE  
**Sponsorship Level:** Sponsored GDR - Reg S Program  
**Ratio (ORD:DR):** 10:1  
**Books Closed Date:** Close of business May 29, 2020  
**Books Open Date:** Close of business June 8, 2020  
**For:** Cancellation  
**Reason:** OTHER

THE BOOKS WILL BE CLOSED FOR CANCELLATIONS IN CONNECTION WITH THE UPCOMING ANNUAL GENERAL MEETING OF SHAREHOLDERS TO BE HELD ON JUNE 18, 2020 FROM THE CLOSE OF BUSINESS MAY 29, 2020 THROUGH THE CLOSE OF BUSINESS JUNE 8, 2020.

### Depository Receipt Services

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