



Books Close/Open Notice

November 14, 2019

Company Name: **Huatai Securities Co., Ltd**

Ticker Symbol: HTSC LI
CUSIP Number: 44331T104
US ISIN: US44331T1043
Country: China
Listing Exchange: LSE
Sponsorship Level: Sponsored GDR - Reg S Program
Ratio (ORD:DR): 10:1
Books Closed Date: Close of business November 26, 2019
Books Open Date: Close of business December 4, 2019
For: Cancellation
Reason: OTHER

THE BOOKS WILL BE CLOSED FOR CANCELLATIONS IN CONNECTION WITH THE UPCOMING EXTRAORDINARY GENERAL MEETING TO BE HELD ON DECEMBER 16, 2019 FROM THE CLOSE OF BUSINESS NOVEMBER 26, 2019 THROUGH THE CLOSE OF BUSINESS DECEMBER 4, 2019.

Depository Receipt Services

Contact Capital Markets Solutions

New York

Michael O'Leary
michael.oleary@citi.com

New York

Jason Zoppel
jason.zoppel@citi.com

London

Michael Woods
michael.woods@citi.com

Group Email
citiadr@citi.com

Bloomberg: ADRC <GO>

For further information about Citi's Depository Receipt Services, visit www.citi.com/dr.