



Books Close/Open Notice

November 14, 2019

Company Name: Huatai Securities Co., Ltd

 Ticker Symbol:
 HTSC LI

 CUSIP Number:
 44331T104

 US ISIN:
 US44331T1043

Country: China Listing Exchange: LSE

Sponsorship Level: Sponsored GDR - Reg S Program

Ratio (ORD:DR): 10:1

Books Closed Date: Close of business November 26, 2019 **Books Open Date:** Close of business December 4, 2019

For: Cancellation Reason: OTHER

THE BOOKS WILL BE CLOSED FOR CANCELLATIONS IN CONNECTION WITH THE UPCOMING EXTRAORDINARY GENERAL MEETING TO BE HELD ON DECEMBER 16, 2019 FROM THE CLOSE OF BUSINESS NOVEMBER 26, 2019 THROUGH THE CLOSE OF BUSINESS DECEMBER 4, 2019.

Depositary Receipt Services

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