## citi



## **Books Close/Open Notice**

July 7, 2021

| Company Name:                     | China Galaxy Securities Co. Ltd. |  |  |
|-----------------------------------|----------------------------------|--|--|
| Ticker Symbol:                    | CGXYY                            |  |  |
| CUSIP Number:                     | 16891L101                        |  |  |
| US ISIN:                          | US16891L1017                     |  |  |
| Country:                          | China                            |  |  |
| Listing Exchange:                 | OTC                              |  |  |
| Sponsorship Level:                | Unsponsored ADR Program          |  |  |
| Ratio (ORD:DR):                   | 25:1                             |  |  |
| Books Closed Date:                | Close of business July 8, 2021   |  |  |
| Books Open Date:                  | Close of business July 19, 2021  |  |  |
| For:                              | Issuance and Cancellation        |  |  |
| Reason:                           | DIVIDEND DATE RECONCILIATION     |  |  |
| Depositary Receipt Serv           | vices                            |  |  |
| Contact Capital Markets Solutions |                                  |  |  |

| New York                | New York              | London                 |                  |
|-------------------------|-----------------------|------------------------|------------------|
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