



Books Close/Open Notice

September 5, 2014 (amended September 8, 2014)

Company Name: China Galaxy Securities Co. Ltd.

 Ticker Symbol:
 CGXYY

 CUSIP Number:
 16891L101

 US ISIN:
 US16891L1017

Country: China Listing Exchange: OTC

Sponsorship Level: Unsponsored ADR Program

Ratio (ORD:DR): 25:1

Books Closed Date: September 5, 2014

Books Open Date: Close of business September 8, 2014

For: Issuance

Reason: 12G3-2(B) EXEMPTION STATUS

Depositary Receipt Services

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Bloomberg: ADRC <GO>

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