



Books Close/Open Notice

September 5, 2014 (amended September 8, 2014)

Company Name: China Galaxy Securities Co. Ltd.

Ticker Symbol: CGXYY
CUSIP Number: 16891L101
US ISIN: US16891L1017
Country: China
Listing Exchange: OTC
Sponsorship Level: Un-sponsored ADR Program
Ratio (ORD:DR): 25:1
Books Closed Date: September 5, 2014
Books Open Date: Close of business September 8, 2014
For: Issuance
Reason: 12G3-2(B) EXEMPTION STATUS

Depository Receipt Services

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