



## Books Close/Open Notice

September 5, 2014 (amended September 8, 2014)

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<b>Company Name:</b>	<b>China Galaxy Securities Co. Ltd.</b>
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<b>Ticker Symbol:</b>	CGXYY
<b>CUSIP Number:</b>	16891L101
<b>US ISIN:</b>	US16891L1017
<b>Country:</b>	China
<b>Listing Exchange:</b>	OTC
<b>Sponsorship Level:</b>	Un-sponsored ADR Program
<b>Ratio (ORD:DR):</b>	25:1
<b>Books Closed Date:</b>	September 5, 2014
<b>Books Open Date:</b>	Close of business September 8, 2014
<b>For:</b>	Issuance
<b>Reason:</b>	12G3-2(B) EXEMPTION STATUS

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