



## Books Close/Open Notice

November 20, 2019

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<b>Company Name:</b>	<b>Alibaba Group Holding Ltd.</b>
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<b>Ticker Symbol:</b>	BABA
<b>CUSIP Number:</b>	01609W102
<b>US ISIN:</b>	US01609W1027
<b>Country:</b>	China
<b>Listing Exchange:</b>	NYSE
<b>Sponsorship Level:</b>	Sponsored ADR Program
<b>Ratio (ORD:DR):</b>	8:1
<b>Books Closed Date:</b>	Close of business November 20, 2019
<b>Books Open Date:</b>	Close of business November 25, 2019
<b>For:</b>	Cancellation
<b>Reason:</b>	OTHER

IN CONNECTION WITH THE IPO OF ALIBABA GROUP HOLDINGS LIMITED (BABA) ORDINARY SHARES IN HONG KONG, THE ADR DEPOSITARY BOOKS WILL BE CLOSED TO ADR CANCELLATIONS FROM THE OPEN OF BUSINESS IN NEW YORK ON 11/21/19 TO THE CLOSE OF BUSINESS IN NEW YORK ON 11/25/19, SUBJECT TO COMPLETION OF THE MOVEMENT OF BABA ORDINARY SHARES INTO CCASS. ALSO, IN CONNECTION WITH THE HONG KONG IPO, THE ADR DEPOSITARY BOOKS WILL NOT CLOSE TO ORDINARY SHARE DEPOSITS OF BABA. SEE DEPOSITARY NOTICE AND Q&A POSTED ON THE DEPOSITARY'S ADR WEBSITE:  
[HTTPS://DEPOSITARYRECEIPTS.CITI.COM/ADR/COMMON/FILE.ASPX?IDF=5101](https://depositaryreceipts.citi.com/ADR/COMMON/FILE.ASPX?IDF=5101)



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### Contact Capital Markets Solutions

#### New York

Michael O'Leary

[michael.oleary@citi.com](mailto:michael.oleary@citi.com)

#### New York

Jason Zoppel

[jason.zoppel@citi.com](mailto:jason.zoppel@citi.com)

#### London

Michael Woods

[michael.woods@citi.com](mailto:michael.woods@citi.com)

Group Email

[citiadr@citi.com](mailto:citiadr@citi.com)

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