



## Books Close/Open Notice

November 20, 2019

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**Company Name:** **Alibaba Group Holding Ltd.**

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**Ticker Symbol:** BABA  
**CUSIP Number:** 01609W102  
**US ISIN:** US01609W1027  
**Country:** China  
**Listing Exchange:** NYSE  
**Sponsorship Level:** Sponsored ADR Program  
**Ratio (ORD:DR):** 8:1  
**Books Closed Date:** Close of business November 20, 2019  
**Books Open Date:** Close of business November 25, 2019  
**For:** Cancellation  
**Reason:** OTHER

IN CONNECTION WITH THE IPO OF ALIBABA GROUP HOLDINGS LIMITED (BABA) ORDINARY SHARES IN HONG KONG, THE ADR DEPOSITORY BOOKS WILL BE CLOSED TO ADR CANCELLATIONS FROM THE OPEN OF BUSINESS IN NEW YORK ON 11/21/19 TO THE CLOSE OF BUSINESS IN NEW YORK ON 11/25/19, SUBJECT TO COMPLETION OF THE MOVEMENT OF BABA ORDINARY SHARES INTO CCASS. ALSO, IN CONNECTION WITH THE HONG KONG IPO, THE ADR DEPOSITORY BOOKS WILL NOT CLOSE TO ORDINARY SHARE DEPOSITS OF BABA. SEE DEPOSITORY NOTICE AND Q&A POSTED ON THE DEPOSITORY'S ADR WEBSITE:

[HTTPS://DEPOSITORYRECEIPTS.CITI.COM/ADR/COMMON/FILE.ASPX?IDF=5101](https://DEPOSITORYRECEIPTS.CITI.COM/ADR/COMMON/FILE.ASPX?IDF=5101)

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