



May 22, 2015

## NYSE Proposes Rule Changes Requiring Foreign Private Issuers to Submit Semi-Annual Financial Information to SEC

The New York Stock Exchange (NYSE) recently proposed amendments to the NYSE Listed Company Manual (Manual) to adopt a requirement that NYSE-listed foreign private issuers (FPIs) submit semi-annual unaudited financial information to the Securities and Exchange Commission (SEC) on Form 6-K.

Specifically, the NYSE proposes to include in the Manual a new Section 203.03 (Semi-Annual Reporting by Foreign Private Issuers) which would mandate that NYSE-listed FPIs submit to the SEC under Form 6-K (i) an interim balance sheet as of the end of the second fiscal quarter, and (ii) a semi-annual income statement that covers the first two fiscal quarters.

This rule proposal is based on Nasdaq's Marketplace Rule 5250(c)(2) and is part of the NYSE's ongoing efforts to protect investors and the public interest by ensuring that NYSE-listed companies provide timely financial information necessary for investors to make informed investment decisions.

The NYSE has solicited comment on the proposed rule changes from issuers and other interested persons, who are invited to do so using the SEC's Internet comment form at: <http://www.sec.gov/rules/sro.shtml>. You may also send an e-mail to [rule-comments@sec.com](mailto:rule-comments@sec.com). Please include File Number SR-NYSE-2015-24 in the subject line.

[Click here for a corporate alert from Citi DR's legal counsel, Patterson Belknap Webb & Tyler LLP.](#)

[Click here to review the NYSE rule change proposal.](#)

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